

**OSTROLENK, FABER, GERB & SOFFEN, LLP**1180 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK 10036-8403  
TEL 212 382 0700 FAX 212 382 0888 FAX 212 398 0681

email@ostrolenk.com

**PARTNERS**SAMUEL H. WEINER  
ROBERT C. FABER  
EDWARD A. MEILMAN  
STEVEN I. WEISBURD  
MAX MOSKOWITZ  
STEPHEN A. SOFFEN  
JAMES A. FINDERWILLIAM O. GRAY, III  
LOUIS C. DUMICH  
CHARLES P. LAPOLLA  
DOUGLAS A. MIRO  
ALFRED R. FABRICANT  
MARC LIEBERSTEIN  
MICHAEL J. SCHEER**ASSOCIATES**PETER MCGEE\*  
CHARLES C. ACHKAR  
ELLEN S. TAO\*  
PETER S. SLOANE  
STEVEN S. RUBIN  
MARY G. FONTENOT  
JOEL J. FELBER\*\*BRENDAN J. KENNEDY\*\*  
KOUROSH SALEHI\*\*  
RICHARD LACAVA  
LAWRENCE C. DRUCKER  
DOUGLAS Q. HAHN  
YURI B. KATSEHOV**OF COUNSEL**MARVIN C. SOFFEN  
JEROME M. BERLINER  
MARTIN PFEFFER  
LEON ZITVER\*  
LAWRENCE A. HOFFMAN  
MARTIN J. BERAN  
\*DC BAR  
\*\*CONNECTICUT BAR**WASHINGTON OFFICE**1725 K STREET, N.W.  
WASHINGTON, D.C. 20006  
TEL 202 457 7785  
FAX 202 429 8919**FACSIMILE TRANSMITTAL SHEET**

TO: JESSICA GAYNOR

FIRM: USPTO

FACSIMILE  
NUMBER: 703-308-5359

FROM: STEVEN WEISBURD

TOTAL NUMBER OF PAGES: 10 INCLUDING COVER SHEET.

RE: OFGS FILE NO.: P/3331-111

OPERATOR: \_\_\_\_\_

DATE: \_\_\_\_\_ TIME: \_\_\_\_\_

IF YOU DID NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON AS POSSIBLE.

Facsimile Operator Phone Number: (212) 382-0700

Direct Line to OFGS Facsimile Machine: (212) 382-0888 or (212) 398-0681

This message is intended only for the use of the individual(s) to which it is addressed, and may contain information that is privileged, confidential or exempt from disclosure under applicable law. If the reader of this message is not an intended recipient, or the employee or agent responsible for delivering the message to an intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address by mail. Thank you.

**REMARKS:**


Dear Ms. Gaynor,

Further to our telephone conference earlier today, I have transmitted herewith a copy of our first Preliminary Amendment together with the postcard stamp receipt therefor.

Steven Weisburd

Serial No. 19/707,824 Filing Date 11-7-00 OFGS File No. 7/3331-11 (cont)  
Title ANONYMOUS TRADING SYSTEM WITH IMPROVED ...  
First Inventor HOWORKA, E. Date 11-29-00  
The PTO has received: Last Due Date: \_\_\_\_\_

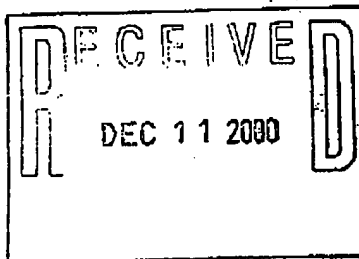
<input type="checkbox"/> Patent Application of _____	<input type="checkbox"/> TM Application <input type="checkbox"/> ITU Basis
Pages (including claims & abstract)	<input type="checkbox"/> Statement of Use
<input type="checkbox"/> Declaration or <input type="checkbox"/> Designation Sheet	<input type="checkbox"/> Extension of Time
<input type="checkbox"/> Drawings _____ Sheet(s)/Figs. _____ to _____	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Priority Document	<input type="checkbox"/> Brief
<input type="checkbox"/> Small Entity Declaration	<input type="checkbox"/> Petition
<input type="checkbox"/> Assignment & Conveyance Cover Sheet	<input type="checkbox"/> Status Request
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Issue Fee
<input type="checkbox"/> PTO-1449	<input checked="" type="checkbox"/> Certificate of Mailing
<input checked="" type="checkbox"/> Amendment <u>PRELIMINARY</u>	<input type="checkbox"/> Express Mail No. _____
<input type="checkbox"/> Affidavit or Declaration	
<input checked="" type="checkbox"/> <u>AMEND TRANS.</u>	
<input checked="" type="checkbox"/> Check No. <u>2326</u> for \$ <u>64-</u>	
Atty/Secy <u>SIW/SKS</u>	
(100)	



11/05/01 17:52 FAX 212 332 1255

OSTROLENK, FABER

003/010



OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 AVENUE OF THE AMERICAS  
NEW YORK, N.Y. 10036-8403

1001342402 1 000 0 0 0 0 1 1001342402